

**UNITED STATES BANKRUPTCY COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION**

In re: ROTH, DANIEL P.
ROTH, BARBARA J.

§ Case No. 16-15606-ABG

§

§

Debtor(s)

§

**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY ADMINISTERED
AND APPLICATION TO BE DISCHARGED (TDR)**

JOHN E. GIERUM, chapter 7 trustee, submits this Final Account,
Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: <u>\$706,566.00</u> <i>(without deducting any secured claims)</i>	Assets Exempt: <u>\$48,782.00</u>
Total Distribution to Claimants: <u>\$11,650.03</u>	Claims Discharged Without Payment: <u>\$220,956.34</u>
Total Expenses of Administration: <u>\$2,276.97</u>	

3) Total gross receipts of \$ 13,927.00 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$ 0.00 (see **Exhibit 2**), yielded net receipts of \$13,927.00 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from Exhibit 3)	\$0.00	\$591,318.79	\$591,318.79	\$0.00
PRIORITY CLAIMS:				
CHAPTER 7 ADMIN. FEES AND CHARGES (from Exhibit 4)	0.00	2,276.97	2,276.97	2,276.97
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from Exhibit 5)	0.00	0.00	0.00	0.00
PRIORITY UNSECURED CLAIMS (from Exhibit 6)	0.00	0.00	0.00	0.00
GENERAL UNSECURED CLAIMS (from Exhibit 7)	0.00	232,606.37	232,606.37	11,650.03
TOTAL DISBURSEMENTS	\$0.00	\$826,202.13	\$826,202.13	\$13,927.00

4) This case was originally filed under Chapter 7 on May 06, 2016.
The case was pending for 16 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 09/13/2017 By: /s/JOHN E. GIERUM
Trustee

STATEMENT: This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

**EXHIBITS TO
FINAL ACCOUNT**

EXHIBIT 1 –GROSS RECEIPTS

DESCRIPTION	UNIFORM TRAN. CODE ¹	\$ AMOUNT RECEIVED
Roth Corporation d/b/a Almost In Florida [Liabil	1129-000	3,000.00
Roth Corporation (Debtor's corporation owes Debt	1129-000	1,000.00
2015 Income Tax Refund: Federal	1224-000	7,858.00
2015 Tax refund State	1224-000	2,069.00
TOTAL GROSS RECEIPTS		\$13,927.00

¹The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.

EXHIBIT 2 –FUNDS PAID TO DEBTOR & THIRD PARTIES

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	\$ AMOUNT PAID
	None		
TOTAL FUNDS PAID TO DEBTOR AND THIRD PARTIES			\$0.00

EXHIBIT 3 –SECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
3	FirstMerit Bank, now part of the Huntington	4210-000	N/A	141,318.79	141,318.79	0.00
4S	Wintrust Mortgage	4110-000	N/A	450,000.00	450,000.00	0.00
TOTAL SECURED CLAIMS			\$0.00	\$591,318.79	\$591,318.79	\$0.00

EXHIBIT 4 –CHAPTER 7 ADMINISTRATIVE FEES and CHARGES

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
Trustee Compensation - JOHN E. GIERUM	2100-000	N/A	2,142.70	2,142.70	2,142.70
Trustee Expenses - JOHN E. GIERUM	2200-000	N/A	2.30	2.30	2.30
Other - INTERNATIONAL SURETIES, LTD.	2300-000	N/A	4.48	4.48	4.48
Other - Rabobank, N.A.	2600-000	N/A	14.23	14.23	14.23
Other - Rabobank, N.A.	2600-000	N/A	14.21	14.21	14.21
Other - Rabobank, N.A.	2600-000	N/A	13.72	13.72	13.72
Other - Rabobank, N.A.	2600-000	N/A	15.12	15.12	15.12
Other - Rabobank, N.A.	2600-000	N/A	14.15	14.15	14.15
Other - Rabobank, N.A.	2600-000	N/A	15.11	15.11	15.11
Other - Rabobank, N.A.	2600-000	N/A	13.20	13.20	13.20
Other - Rabobank, N.A.	2600-000	N/A	14.59	14.59	14.59
Other - Rabobank, N.A.	2600-000	N/A	13.16	13.16	13.16
TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES		N/A	\$2,276.97	\$2,276.97	\$2,276.97

EXHIBIT 5 –PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
None					
TOTAL PRIOR CHAPTER ADMIN. FEES AND CHARGES		N/A	\$0.00	\$0.00	\$0.00

EXHIBIT 6 –PRIORITY UNSECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
None						
TOTAL PRIORITY UNSECURED CLAIMS			\$0.00	\$0.00	\$0.00	\$0.00

EXHIBIT 7 –GENERAL UNSECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
1	U.S. Bank, NA	7100-000	N/A	18,227.77	18,227.77	912.94
2	American Express Centurion Bank	7100-000	N/A	37,995.86	37,995.86	1,903.01
4U	Wintrust Mortgage	7100-000	N/A	176,382.74	176,382.74	8,834.08
TOTAL GENERAL UNSECURED CLAIMS			\$0.00	\$232,606.37	\$232,606.37	\$11,650.03

Form 1

Individual Estate Property Record and Report

Asset Cases

Case Number: 16-15606-ABG
Case Name: ROTH, DANIEL P.
 ROTH, BARBARA J.

Trustee: (520171) JOHN E. GIERUM
Filed (f) or Converted (c): 05/06/16 (f)
§341(a) Meeting Date: 06/24/16
Claims Bar Date: 09/28/16

Period Ending: 09/13/17

1 Asset Description (Scheduled And Unscheduled (u) Property) Ref. #	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Abandoned OA=\$554(a)	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1 2361 West Steeple Chase Circle, Libertyville, IL Imported from original petition Doc# 1	550,000.00	0.00		0.00	FA
2 Checking #2011: North Shore Community Bank & Tru Imported from Amended Doc#: 17	645.00	0.00		0.00	FA
3 Deposits of money: Checking #3865 Chase Bank (u) Imported from Amended Doc#: 17	342.00	0.00		0.00	FA
4 [Couches, Chairs, Televisions and DVD Players (u) Imported from Amended Doc#: 17	1,800.00	1,550.00		0.00	FA
5 Bedroom Sets, Washer and Dyer (u) Imported from Amended Doc#: 17	1,300.00	1,150.00		0.00	FA
6 Diningroom Set, Kitchen Table and Chairs Imported from Amended Doc#: 17	900.00	750.00		0.00	FA
7 [Refrigerator and Dishwasher (u) Imported from Amended Doc#: 17	300.00	150.00		0.00	FA
8 Clothes (u) Imported from Amended Doc#: 17	500.00	0.00		0.00	FA
9 Furs Imported from Amended Doc#: 17	100.00	100.00		0.00	FA
10 Jewelry Imported from Amended Doc#: 17	500.00	250.00		0.00	FA
11 John Alden Life Insurance Company [Cash Surrende Imported from original petition Doc# 1	2,000.00	0.00		0.00	FA
12 Kingsway America, Inc. Fidelity In 401 (k): estm (u) Imported from Amended Doc#: 17	7,651.00	0.00		0.00	FA
13 401 (k) Plan: rudential (monthly on retirement) (u) Imported from Amended Doc#: 17	300.00	0.00		0.00	FA
14 IWS Employee Stock Purchase, 100% ownership Imported from original petition Doc# 1	2,400.00	2,400.00		0.00	FA
15 ESPP Employee Stock Plan, 100% ownership (u) Imported from Amended Doc#: 17	5,428.00	2,684.00		0.00	FA
16 Roth Corporation d/b/a Almost In Florida [Liabil Imported from Amended Doc#: 17	Unknown	Unknown		3,000.00	FA

Form 1

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17 Roth Corporation (Debtor's corporation owes Debt Imported from original petition Doc# 1	199,000.00	199,000.00		1,000.00	FA
18 Employer Reimbursement of Expenses Imported from original petition Doc# 1	1,250.00	0.00		0.00	FA
19 2015 Income Tax Refund: Federal (u) Imported from Amended Doc#: 17	7,858.00	7,858.00		7,858.00	FA
20 2016 Cadillac SRX, 1,000 miles. Entire property Imported from Amended Doc#: 17	27,000.00	0.00		0.00	FA
21 2013 Mercedes C280, 40,000 miles. Entire propert Imported from Amended Doc#: 17	4,050.00	0.00		0.00	FA
22 2004 Silverton 35 Motor Yacht. Entire property v Imported from Amended Doc#: 17	100,000.00	0.00		0.00	FA
23 13. Non-farm animals Examples: Dogs, cats, birds (u) Imported from Amended Doc#: 17	100.00	100.00		0.00	FA
24 2015 Tax refund State (u)	2,069.00	2,069.00		2,069.00	FA
24 Assets Totals (Excluding unknown values)	\$915,493.00	\$218,061.00		\$13,927.00	\$0.00

Major Activities Affecting Case Closing:

Negotiating sale of stock interest back to debtors

negotiating sale of business stock back to debtors or will open market sale

Initial Projected Date Of Final Report (TFR): December 31, 2018

Current Projected Date Of Final Report (TFR): June 1, 2017 (Actual)

Form 2

Cash Receipts And Disbursements Record

Case Number: 16-15606-ABG
Case Name: ROTH, DANIEL P.
 ROTH, BARBARA J.

Taxpayer ID #: **-***4762

Period Ending: 09/13/17

Trustee: JOHN E. GIERUM (520171)

Bank Name: Rabobank, N.A.

Account: *****5366 - Checking Account

Blanket Bond: \$5,000,000.00 (per case limit)

Separate Bond: N/A

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
07/29/16	{19}	United States Treasury	Tax Refund	1224-000	7,858.00		7,858.00
07/29/16	{24}	Treasurer of the State of Illinois	Tax Refund	1224-000	2,069.00		9,927.00
08/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		14.23	9,912.77
09/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		14.21	9,898.56
10/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		13.72	9,884.84
11/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		15.12	9,869.72
12/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		14.15	9,855.57
01/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		15.11	9,840.46
02/03/17	101	INTERNATIONAL SURETIES, LTD.	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 02/01/2017 FOR CASE #16-15606, Bond Premium 02-01-17 to 02-01-18	2300-000		4.48	9,835.98
02/28/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		13.20	9,822.78
03/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		14.59	9,808.19
04/27/17		Daniel Roth			4,000.00		13,808.19
	{17}		RECEIVABLE 1,000.00	1129-000			13,808.19
	{16}		3,000.00	1129-000			13,808.19
04/28/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		13.16	13,795.03
07/26/17	102	U.S. Bank, NA	First and Final Distribution	7100-000		912.94	12,882.09
07/26/17	103	American Express Centurion Bank	First and Final Distribution	7100-000		1,903.01	10,979.08
07/26/17	104	Wintrust Mortgage	First and Final Distribution	7100-000		8,834.08	2,145.00
07/26/17	105	JOHN E. GIERUM	COMBINED CHECK FOR TRUSTEE COMPENSATION, EXPENSES AND INTEREST			2,145.00	0.00
			Dividend paid 100.00% 2,142.70 on \$2,142.70; Claim# ; Filed: \$2,142.70; Reference: TEE	2100-000			0.00
			Dividend paid 100.00% 2.30 on \$2.30; Claim# ; Filed: \$2.30; Reference: TEE EXP	2200-000			0.00

ACCOUNT TOTALS	13,927.00	13,927.00	\$0.00
Less: Bank Transfers	0.00	0.00	
Subtotal	13,927.00	13,927.00	
Less: Payments to Debtors		0.00	
NET Receipts / Disbursements	\$13,927.00	\$13,927.00	

Form 2

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ROTH, BARBARA J.

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Bank Name: Rabobank, N.A.

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Separate Bond: N/A

1	2	3	4		5	6	7
Trans. Date	{Ref #} / Check #	Paid To / Received From	Description of Transaction	T-Code	Receipts \$	Disbursements \$	Checking Account Balance

	Net Receipts	Net Disbursements	Account Balances
TOTAL - ALL ACCOUNTS			
Checking # *****5366	13,927.00	13,927.00	0.00
	\$13,927.00	\$13,927.00	\$0.00